

**************************************		Applica	ation Number	09/783,253							
TRANSMITTAL FORM		Filing (February 13, 2001							
			amed Inventor	Sirhan, Motasim							
(to be used for all correspondence after initial filing)			it	3738							
			ner Name	PHAN, H.							
Total Number of Pages in This 5			ey Docket Number								
Submission		7 (0) (1)		020460-000910US							
ENCLOSURES (Check all that apply)											
Fee Transmittal Form	☐ Drawin	g(s)		After Allowance Communication to Group							
Fee Attached	Licensi	ng-relate	d Papers	Appeal Communication to Board of Appeals and Interferences							
☐ Amendment/Reply	Petition	1		Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)							
After Final		n to Conv onal Appl		Proprietary Information							
Affidavits/declaration(s)			ey, Revocation espondence Address	Status Letter							
Extension of Time Request	Termin	al Disclai	mer	Other Enclosure(s) (please identify below):							
 		st for Ref	und	Return Postcard							
Express Abandonment Request	CD, No	ımber of	CD(s)	Cited References							
Information Disclosure Statement				PCT Search Report							
Certified Copy of Priority Document(s)	Rema	rks	The Commissioner is a Account 20-1430.	authorized to charge any additional fees to Deposit							
Response to Missing Parts/ Incomplete Application											
Response to Missing Parts under 37 CFR 1.52 or 1.53											
			ICANT, ATTORNEY,	OR AGENT							
Firm Townsend and T	ownsend a	nd Crew		- 47 400							
Individual Nena Bains Reg. No. 47,400											
Signature Signature											
Date 0ct. 23, 2003											
	CERTIFICATE OF TRANSMISSION/MAILING										
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.											
Typed or printed name Landon Clar	tk										
Signature		(17M		Date 10 - 24 - 03							

60067510 v1

RECEIVED OCT 3 0 2003

1/100 WEEE TOANSMITTAL	Complete if Known						
for EV 2004	Application Number			09/7			
for FY 2004				Feb	ruary 13, 2001	CEIVE	
R ADEMPE Effective 10/01/2003. Patent fees are subject to annual revision.	First N	Named Inv	ventor	Sirh	an, Motasim OC)	3 0 2003	
Applicant claims small entity status. See 37 CFR 1.27	Exami	Examiner Name PHAN, H. TECHNOLOGY CE			GVCCVC		
·	Art Un	nit		3738	8	CENTER RS	
TOTAL AMOUNT OF PAYMENT (\$) 180	Attorn	Attorney Docket No.			460-000910US		
METHOD OF PAYMENT (check all that apply)				FEE C	ALCULATION (continued)		
Check Credit Card Money Order Other None		DITIONAL	f				
Deposit Account:	Large Fee	Entity Fee	Small Fee	Entity Fee		Fee	
Deposit Account 20-1430	Code	(\$)	Code	(\$)	Fee Description	Pald	
Number	1051	130	2051	65 25	Surcharge - late filling fee or oath		
	1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet.		
Deposit Account Townsend and Townsend and Crew LLP	1053	130	1053	130	Non-English specification		
Name	1812	2,520	1812	2,520	For filing a request for reexamination		
The Director is authorized to: (check all that apply) Charge fee(s) indicated below Credit any overpayments	1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action		
Charge any additional fee(s) or any underpayment of fee(s)	1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action		
Charge fee(s) indicated below, except for the filing fee	1251	110	2251	55	Extension for reply within first month	 	
to the above-identified deposit account.	1252	420	2252	210	Extension for reply within second month		
FEE CALCULATION	1253	950	2253	475	Extension for reply within third month		
1. BASIC FILING FEE Large Entity Small Entity	1254	1,480	2254	740	Extension for reply within fourth month		
Fee Fee Fee Fee Description Fee Paid	1255	2,010	2255	1,005	Extension for reply within fifth month		
Code (\$) Code (\$)	1401	330	2401	165	Notice of Appeal		
1001 770 2001 385 Utility filing fee	1402	330	2402	165	Filing a brief in support of an appeal		
1002 340 2002 170 Design filing fee 1003 530 2003 265 Plant filing fee	1403	290	2403	145	Request for oral hearing		
1003 530 2003 265 Plant filing fee 1004 770 2004 385 Reissue filing fee	1451	1,510	1451	1,510	Petition to institute a public use proceeding		
1005 160 2005 80 Provisional filing fee .	1452	110	2452	55	Petition to revive – unavoidable		
	1453	1,330	2453	655	Petition to revive – unintentional		
SUBTOTAL (1)	1501	1,330	2501	655	Utility issue fee (or reissue)		
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE	1502	480	2502	240	Design issue fee		
Fee from	1503	640	2503	320	Plant issue fee		
Extra Claims below Fee Paid	1460 1807	130 50	1460 1807	130 50	Petitions to the Commissioner Petitions related to provisional		
Total Claims -** = X =	1007	30	1007	30	applications		
Independent	1806	180	1806	180	Submission of Information Disclosure	180	
Claims =	9024	40	9024	40	Stmt Recording each patent assignment	 	
Multiple X =	8021	40	8021	40	per property (times number of		
Dependent 'L' L'				***	properties)		
Large Entity Small Entity	1809	770	2809	385	Filing a submission after final rejection (37 CFR § 1.129(a))	ין	
Fee Fee Fee <u>Fee Description</u> Code (\$)	1810	770	2810	385	For each additional invention to be		
1202 18 2202 9 Claims in excess of 20					examined (37 CFR § 1.129(b))		
1201 86 2201 43 Independent claims in excess of 3	1801	770	2801	385	Request for Continued Examination (RCE)	† 	
1203 290 2203 145 Multiple dependent claim, if not paid ** Reissue independent claims	1802	900	1802	900	Request for expedited examination		
over original patent			ı		of a design application	 	
1205 18 2205 9 ** Reissue claims in excess of 20 and over original patent	Other fo	ee (specify	y)		***************************************		
	****	*Boduced by Popie Filips Foe Bold SURTOTAL (2) (\$)180					
SUBTOTAL (2) (\$)	*Reduced by Basic Filing Fee Paid SUBTOTAL (3)						
**or number previously paid, if greater; For Reissues, see above				<u>:</u>			
SUBMITTED BY		Т		1	Complete (if applicable)		
Name (Print/Type) Nena Bains . Registration No. (Attorn		ny/Agent) 47,400 Telephone 415-576-0200					
Signature	$)^{-}$				Date 10/23/03	J	

I he say certify that this correspondence is being deposited with the United dates ostal Service as first class mail in an envelope addressed to:

Attorney Docket No.: 020460-000910US

Commissioner for Patents
P.O. Box 1450
Acxandria, VA 22313-1450

on 10-24-03

TOWNSEND and TOWNSEND and CREW LILP

By: Landon Clark

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

SIRHAN, MOTASIM ET AL.

Application No.: 09/783,253

Filed: February 13, 2001

For: APPARATUS AND METHODS FOR CONTROLLED SUBSTANCE DELIVERY FROM IMPLANTED

PROSTHESES

Examiner: PHAN, H.

Art Unit: 3738

INFORMATION DISCLOSURE

STATEMENT UNDER 37 CFR §1.97 and

§1.98

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 RECEIVED
OCT 3 0 2003

TECHNOLOGY CENTER R3700

Sir:

The references cited on attached form PTO/SB/08 are being called to the attention of the Examiner. Copies of the references are enclosed.

Also enclosed is a copy of the Search/Examination report corresponding to the PCT application.

It is respectfully requested that the cited references be expressly considered during the prosecution of this application, be made of record therein and appear among the "references cited" on any patent to issue therefrom.

10/29/2003 HVUONG1 00000148 201430 09783253

01 FC:1806

180.00 DA

Page 2

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

This IDS is being filed after the mailing date of the final Office Action or Notice of Allowance.

CERTIFICATION

I hereby certify that each item of information contained in this Information

Disclosure Statement was first cited in any communication from a foreign patent office in a

counterpart foreign application not more than three months prior to the filing of this statement.

Please charge the IDS fee of \$180 to Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,

Nena Bains

Reg. No. 47,400

TOWNSEND and TOWNSEND and CREW LLP Two Embarcadero Center, Eighth Floor San Francisco, California 94111-3834

Tel: 415-576-0200 Fax: 415-576-0300

NB/llc

60067512 v1